

Random Restrictions on Boolean Functions with Small Influences

Ronen Eldan, Avi Wigderson, *Pei Wu*

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Some background

Influences

$$f: \{0,1\}^n \rightarrow \{0,1\}.$$

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Definition (influence and max influence)

$$\text{Inf}_i[f] = \Pr_x[f(x) \neq f(x \oplus e_i)],$$

$$\text{MaxInf}[f] = \max_{i \in [n]} \text{Inf}_i(f).$$

Examples

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Fact. $\text{MaxInf}(\text{MAJ}_n) = \Theta\left(\frac{1}{\sqrt{n}}\right).$

Proof:

$$\text{Inf}_i(f) = \Pr \left[\left| |x| - \frac{n}{2} \right| \leq 1 \right] \cdot \Theta(1).$$

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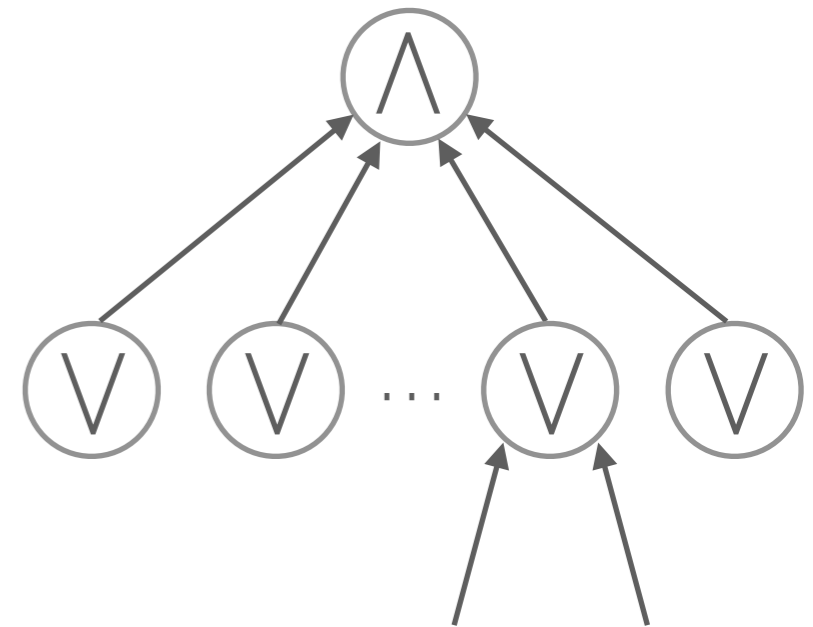
$$\text{Inf}_i(f) = \Pr \left[\left| |x| - \frac{n}{2} \right| \leq 1 \right] \cdot \Theta(1).$$

e.g. 01010101010, any 0 is sensitive

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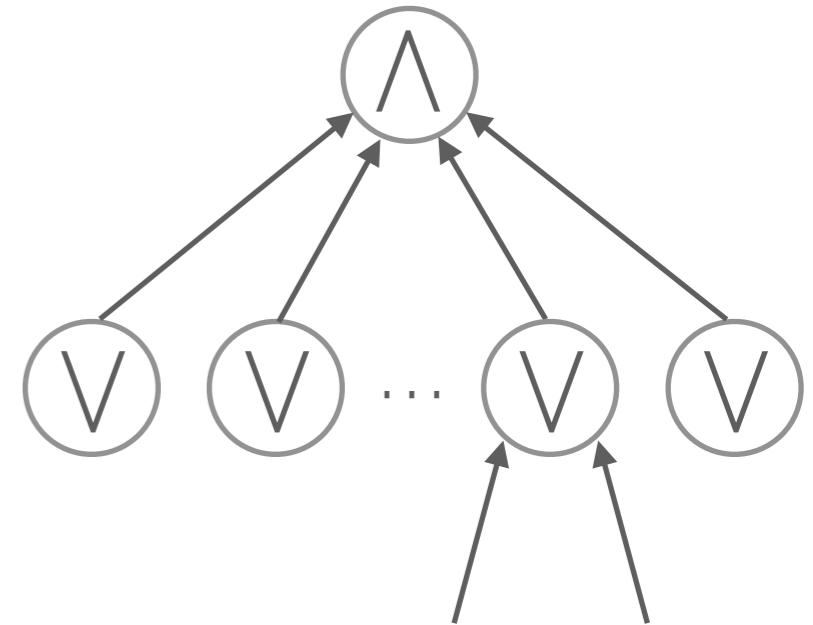
$$2. \text{TRIBES}_n(x) = \bigwedge_{i=1}^s \bigvee_{j=1}^w (x_{i,j}),$$

$s = n/w, w \approx \log n - \log \log n.$



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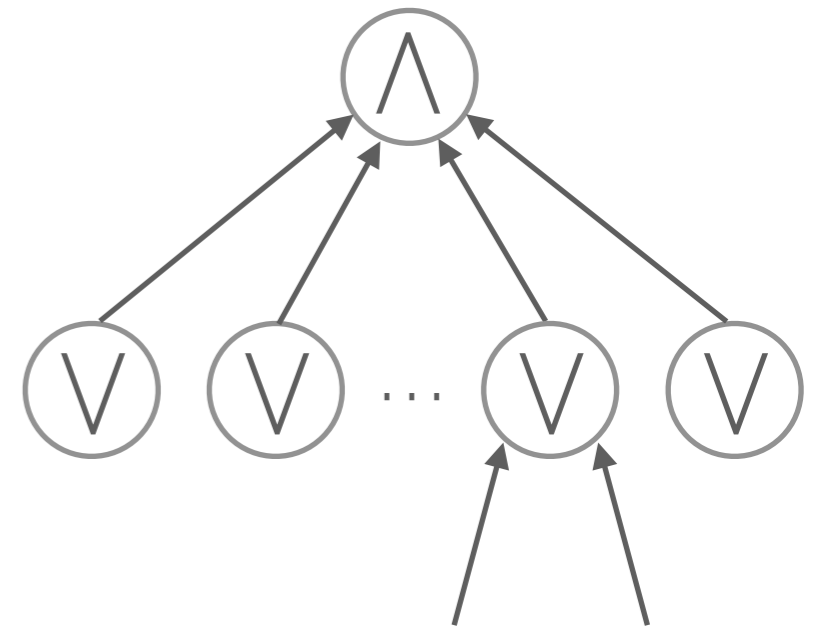
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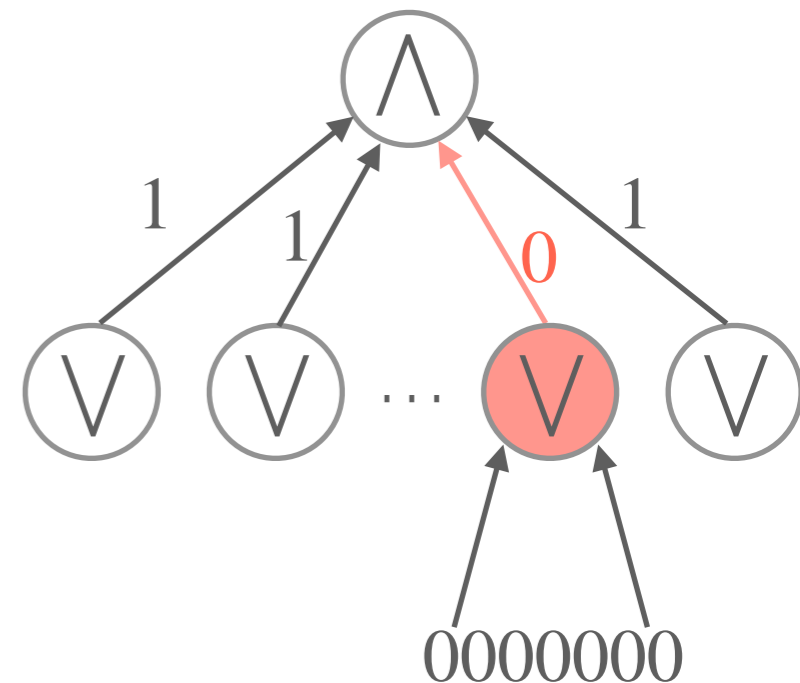
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Suffices to check the case when $\text{TRIBES}(x) = 0$.

With $\Theta(1)$ probability, exactly one OR gate evaluates to 0.

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Functions with small influences

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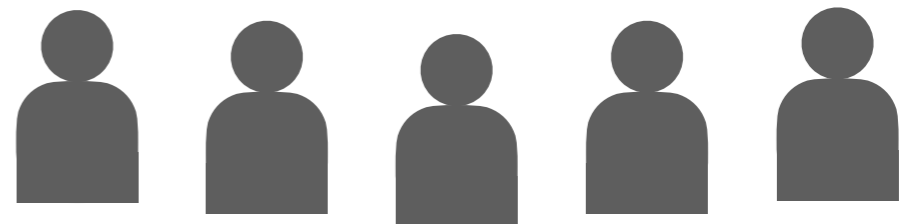
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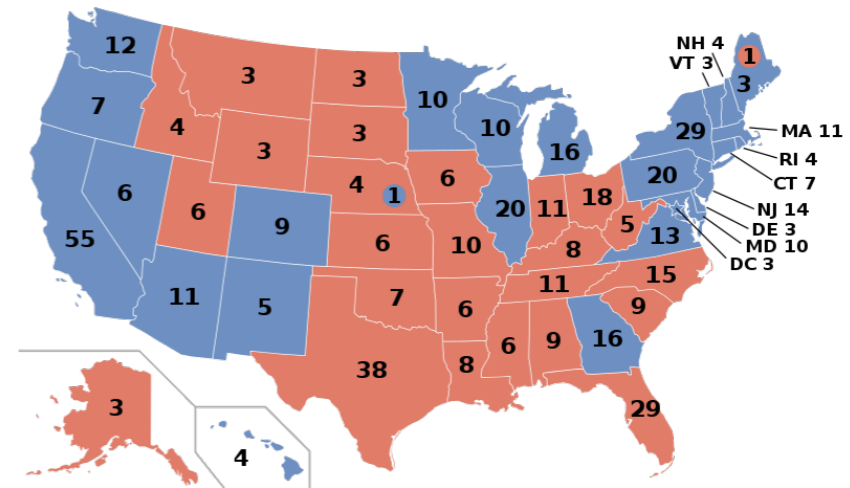


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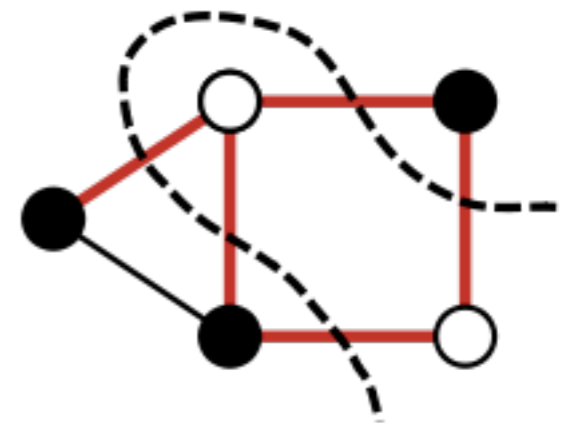


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3. Invariance principle

$$\sum X_i \approx \textit{Gaussian}$$

Random restrictions

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p -random restriction $f|_{R_p}$: fix p random bits

Our results

Theorem (Eldan, Wigderson, W.)

For any $f : \{0,1\}^n \rightarrow \{0,1\}$, with $\text{MaxInf}(f) = \tau = o(1)$, $\Omega(1)$ variance. Then for alive probability

$\rho = \tilde{\Omega}\left(\frac{1}{\log 1/\tau}\right)$, we have

$$\Pr[f|_{R_{1-\rho}} \text{ is nonconstant}] = 1 - o(1).$$

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Proof. by Hastad's Switching Lemma

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1. *Optimality (w.r.t. ρ):* the Tribes function.
2. *Optimality (w.r.t. \mathbf{Var}):* the Majority function.

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- Koehler-Lifshitz-Minzer-Mossel have a different approach ’22

One application

Corollary.

For any balanced function f , with $\tau = o(1)$ max influence, then

$$\Pr[\mathbf{bs}_f(x) \geq \tilde{\Omega}(\log(1/\tau))] = 1 - o(1).$$

Sensitivity $s_f(x)$: number of sensitive bits

$$s_f(x) := |\{i : f(x) \neq f(x \oplus e_i)\}|.$$

Block sensitivity $\mathbf{bs}_f(x)$: max number of disjoint sensitive blocks

$$\mathbf{bs}_f(x) := \max |\{\text{disjoint } S_1, S_2, \dots, S_k \subseteq [n] \\ : f(x) \neq f(x \oplus 1_{S_i})\}|.$$

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Remark: By KKL inequality,

$$\mathbf{E}[\text{bs}_f(x)] \geq \mathbf{E}[s_f(x)] \geq \Omega(\log(1/\tau)).$$

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Any $M - 1$ blocks, induces a random restriction.

Proof of the main result

Two random processes

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Uniform process

1. Random permutation π ,
2. $X(0) = 0^n$,
3. $X_i(t) = X_i(t-1)$, for $i \neq \pi(t)$;
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Observation.

- i. $X(t)$ induces a random restriction $f|_{R(t)}$,
- ii. $X(n)$ is a uniformly random element from $\{-1,1\}^n$,
- iii. $f(X(t)) = \mathbf{E}_{z \in \{-1,1\}^{n-t}}[f|_{R(t)}(z)]$ is a martingale.

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e.g. $f = 1 + x_1 + x_2 + x_1x_2$,
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Two random processes

Conditioned process

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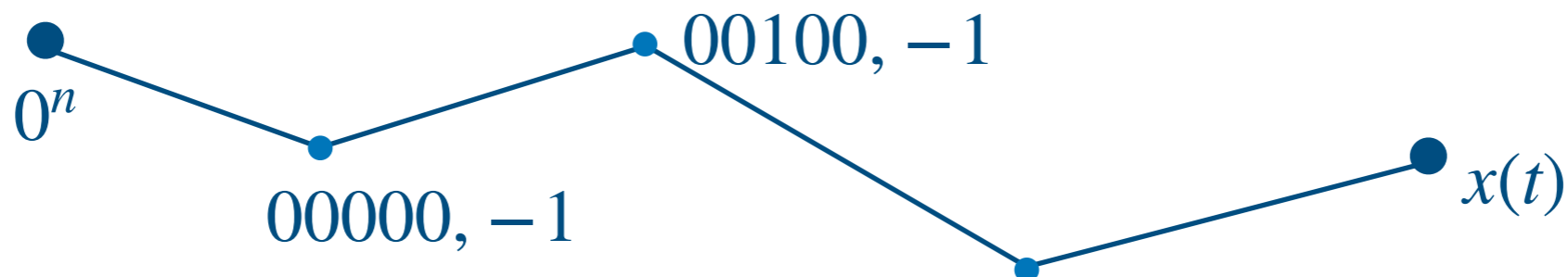
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Fact. Fix some some path $x(0), x(1), \dots, x(t) \in \{-1, 0, 1\}^n$,

$$\frac{\Pr[\forall i \in [t], Y(t) = x(t)]}{\Pr[\forall i \in [t], X(t) = x(t)]} = \frac{f(x(t))}{f(0)}.$$



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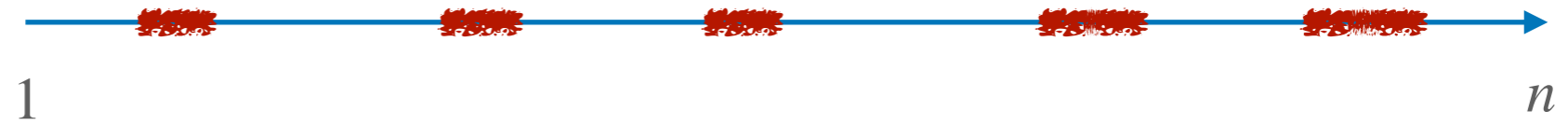
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Pf. lhs = $\prod_{i=1}^t 2 \frac{f(x(i-1) + x_{\pi(i)} e_{\pi(i)})}{2f(x(i-1))} = \frac{f(x(t))}{f(0)}.$

Controlled Process

 controlled T  random \bar{T}

Controlled process



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THE GAME: expose the variables in a random order:
(w.p. $1 - \epsilon$) expose the variable uniformly randomly;
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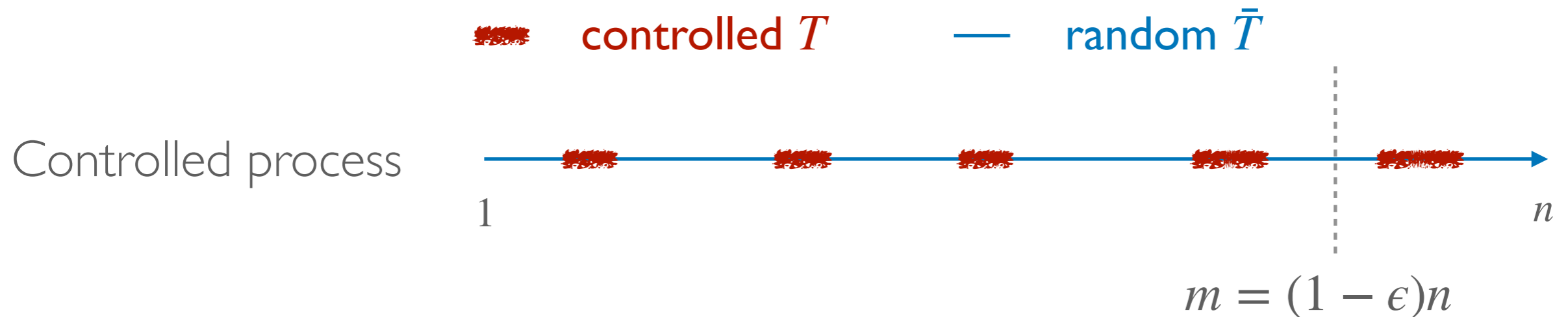


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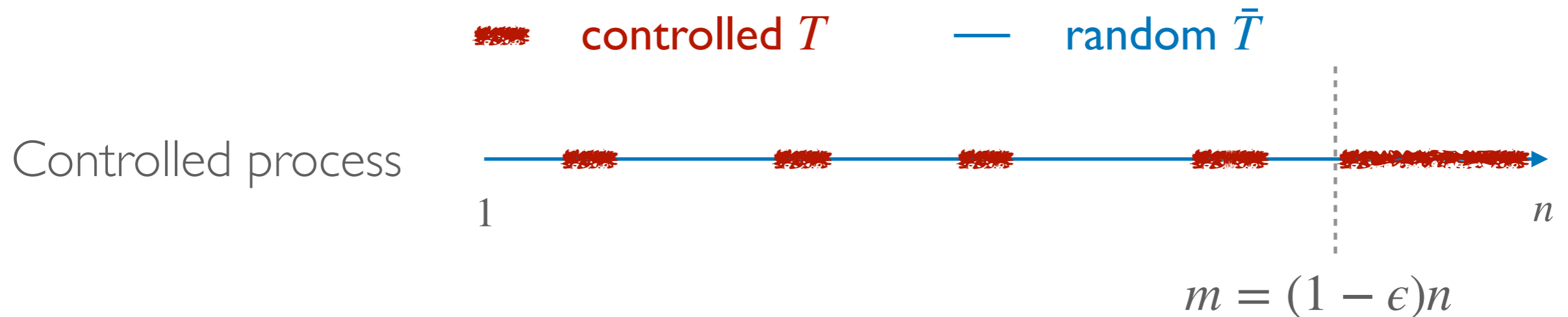


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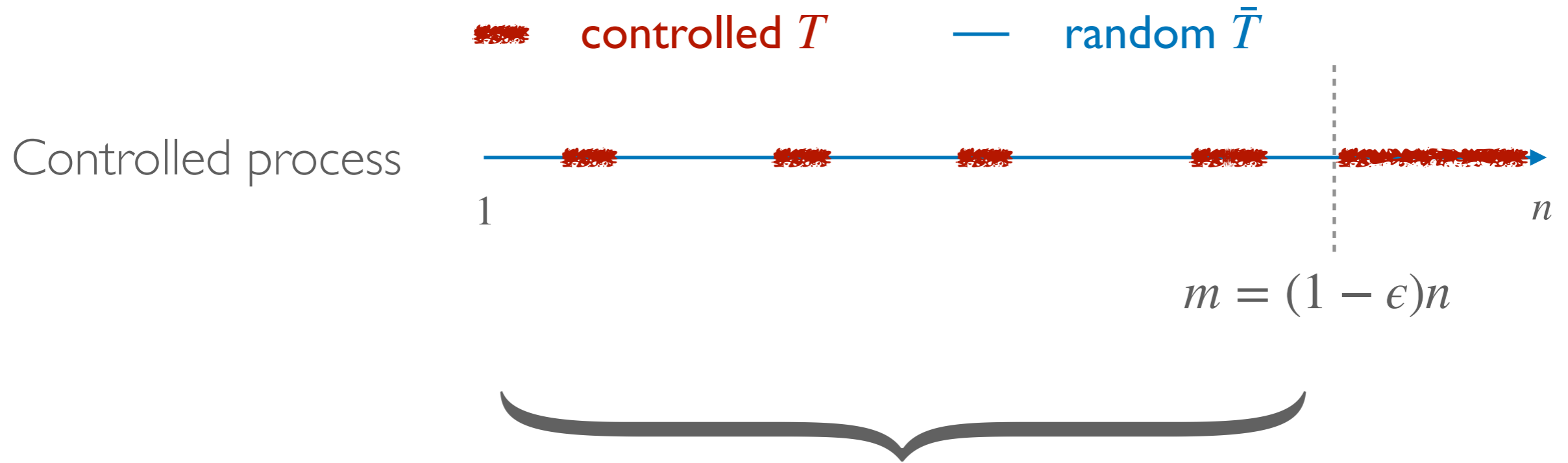


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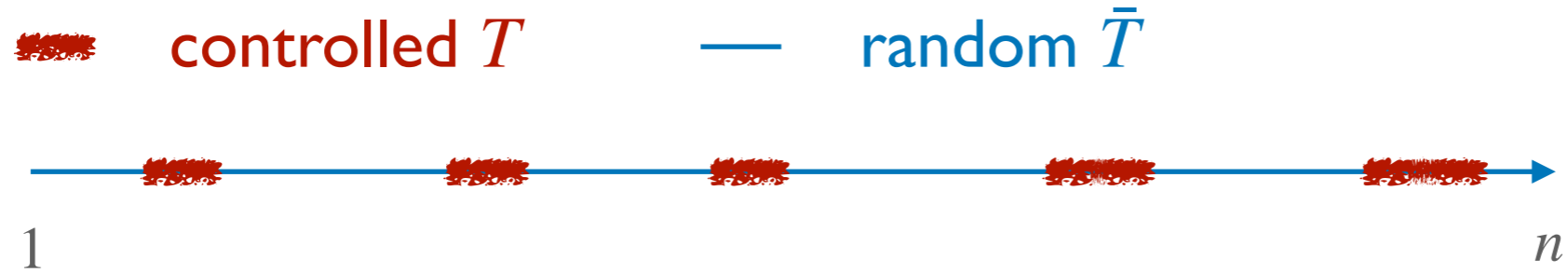
Controlled Process



the blue variables = random restriction

Controlled Process

Controlled process



Controlled Process

Controlled process



$T \subseteq [n]$, a random $(1 - \epsilon)$ -set
(Random coordinate $t \notin T$)

$$\Pr[Y_{\pi(t)}(t) = \pm 1] = 0.5$$

(Controlled coordinate $t \in T$)

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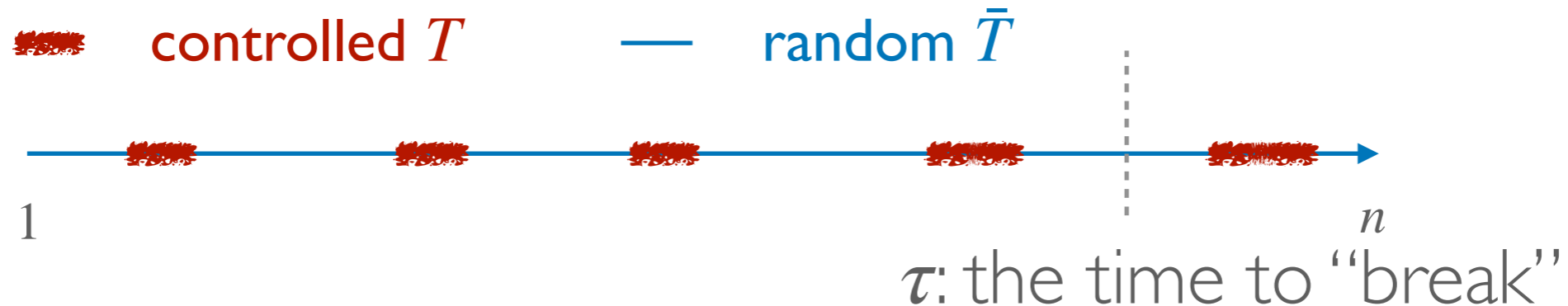
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Fact. As long as $\epsilon f(Y(t-1)) \geq |\partial_{\pi(t)} f(Y(t-1))|$, then the controlled process simulates the conditioned process.

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τ : the time to "break"

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$$\Pr[Y_{\pi(t)}(t) = \pm 1] = \frac{1}{2} \pm \frac{\partial_{\pi(t)} f(Y(t-1))}{2\epsilon f(Y(t-1))}.$$

in particular, the first time t when
 $\max_i |\partial_i f(Y(t-1))| > \epsilon\delta,$
 $f(Y(t-1)) < \delta$

Fact. As long as $\epsilon f(Y(t-1)) \geq |\partial_{\pi(t)} f(Y(t-1))|$, then the controlled process simulates the conditioned process.

Controlled Process

Controlled process



$T \subseteq [n]$, a random $(1 - \epsilon)$ -set
 (Random coordinate $t \notin T$)

$$\Pr[Y_{\pi(t)}(t) = \pm 1] = 0.5$$

(Controlled coordinate $t \in T$)

$$\Pr[Y_{\pi(t)}(t) = \pm 1] = \frac{1}{2} \pm \frac{\partial_{\pi(t)} f(Y(t-1))}{2\epsilon f(Y(t-1))}.$$

(After τ)

$$\Pr[Y_{\pi(t)}(t) = \pm 1] = \frac{1}{2} \pm \frac{\partial_{\pi(t)} f(Y(t-1))}{2f(Y(t-1))}.$$

in particular, the first time t when

$$\max_i |\partial_i f(Y(t-1))| > \epsilon\delta,$$

$$f(Y(t-1)) < \delta$$

Controlled Process

Controlled process



τ : the time to “break”

$T \subseteq [n]$, a random $(1 - \epsilon)$ -set
(Random coordinate $t \notin T$)

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in particular, the first time t when
 $\max_i |\partial_i f(Y(t-1))| > \epsilon\delta$,
 $f(Y(t-1)) < \delta$

**Goal accomplished,
as long as $\tau > m$!**

Analysis

 controlled T  random \bar{T}

Controlled process



τ : the time to “break”

in particular, the first time t when

$$\max_i |\partial_i f(Y(t-1))| > \epsilon \delta,$$
$$f(Y(t-1)) < \delta$$

$$\tau = \min\{\tau_1, \tau_2\},$$

$$\tau_1 = \min_t \{f(Y(t-1)) < \delta\},$$

$$\tau_2 = \min_t \{ \max_i |\partial_i f(Y(t-1))| > \epsilon \delta \}$$

Analysis


- - - controlled T — random \bar{T}

Controlled process



τ : the time to “break”

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$$\max_i |\partial_i f(Y(t-1))| > \epsilon \delta,$$

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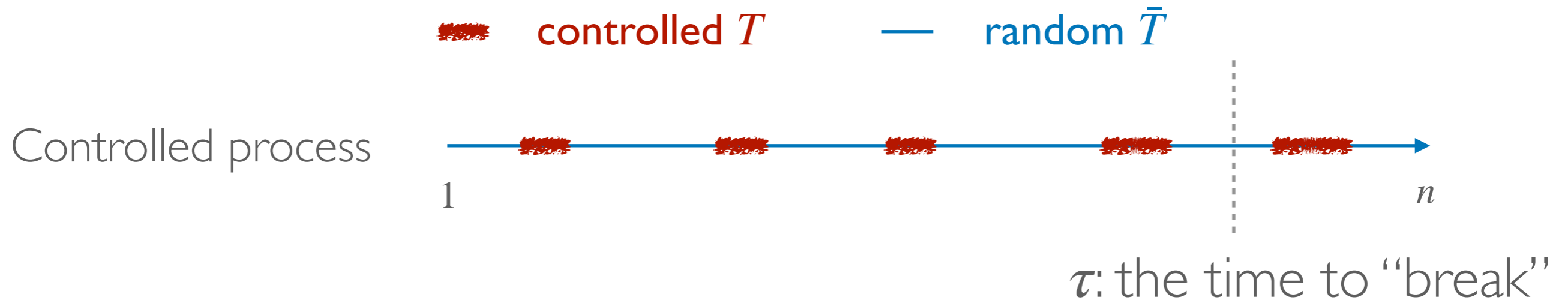
$$\tau = \min\{\tau_1, \tau_2\},$$

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Lemma I. w.h.p. $\tau_1 > (1 - \epsilon)n$

Analysis



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Lemma 1. w.h.p. $\tau_1 > (1 - \epsilon)n$

Lemma 2. w.h.p. $\tau_2 > (1 - \epsilon)n$

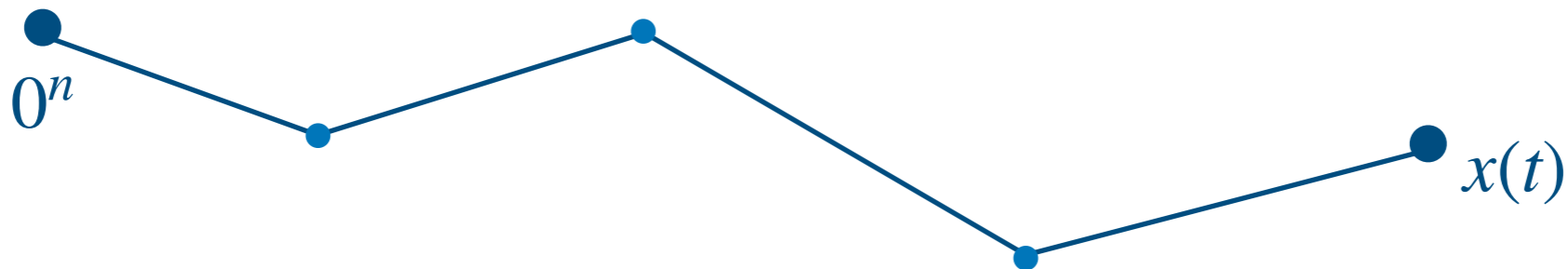
Mean remains large

$$\tau_1 = \min_t \{f(Y(t-1)) < \delta\}$$

Lemma I. w.h.p. $\tau_1 > (1 - \epsilon)n$

Proof. $\Pr_Y[\tau_1 \leq (1 - \epsilon)n] \leq \Pr_X[\tau_1 \leq (1 - \epsilon)n] \cdot \frac{\delta}{f(0)}$

$$\leq \frac{\delta}{f(0)}.$$



Partial derivatives remain small

$$\tau_2 = \min_t \{ \max_i | \partial_i f(Y(t-1)) | > \epsilon \delta \}$$

Lemma 2. w.h.p. $\tau_2 > (1 - \epsilon)n$

Proof. $\Pr_Y[\tau_2 \leq (1 - \epsilon)n] \leq \Pr_X[\tau_2 \leq (1 - \epsilon)n] \cdot \frac{1}{f(0)}$.

Partial derivatives remain small

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Switch to continuous process

Continuous uniform process

Sample $\eta(i) \in [0,1], i = 1,2,\dots,n,$

Each $Z_i(t)$ is 0 until $t = \eta(i)$, set $Z_i(t) \sim \{-1,1\}$.

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Continuous uniform process

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$$\tau_3 = \min_t \{ \max_i | \partial_i f(Z(t)) | > \epsilon \beta \}$$

Lemma 3. w.h.p. $\tau_3 > (1 - \epsilon)$

Partial derivatives under uniform process

$$\tau_3 = \min_t \{ \max_i | \partial_i f(Z(t)) | > \epsilon \delta \}$$

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Proof.

$$\Pr \left[\sup_{0 \leq s \leq t} \beta(s) \geq \theta \right] \leq \Pr \left[\sup_{0 \leq s \leq t} \sum_{i=1}^n |\partial_i f(Z(s))|^{2+\eta} \geq \theta^{2+\eta} \right]$$

Partial derivatives under uniform process

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$\partial_i f(Z(t))$ is a martingale.

Partial derivatives under uniform process

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A hypercontractivity inequality for random restrictions

Partial derivatives under uniform process

Theorem (Hypercontractive inequality for random restriction).

For any multilinear function $f : [-1, 1]^n \rightarrow \mathbb{R}$, and $0 \leq t \leq T \leq 1$. Then, for $\eta \leq T - t$,

$$\mathbf{E}[|f(Z(t))|^{2+\eta}]^{\frac{1}{2+\eta}} \leq \mathbf{E}[f(Z(T))^2]^{\frac{1}{2}},$$

Partial derivatives under uniform process

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c.f. the standard HC inequality

$$\mathbf{E}[(\mathbf{T}_{\epsilon(\eta)} f(x))^{2+\eta}]^{\frac{1}{2+\eta}} \leq \mathbf{E}[f(x)^2]^{\frac{1}{2}}$$

Partial derivatives under uniform process

$$\tau_3 = \min_t \{ \max_i |\partial_i f(Z(t))| > \epsilon \delta \}$$

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Proof.

$$\begin{aligned} \Pr \left[\sup_{0 \leq s \leq t} \beta(s) \geq \theta \right] &\leq \Pr \left[\sup_{0 \leq s \leq t} \sum_{i=1}^n |\partial_i f(Z(s))|^{2+\eta} \geq \theta^{2+\eta} \right] \\ &\leq \theta^{-2-\eta} \sum_i \mathbf{E}[|\partial_i f(Z(t))|^{2+\eta}] \\ &\leq \theta^{-2-\eta} \sum_i (\mathbf{E}[\partial_i f(Z(T))^2])^{1+\eta/2} \\ &\leq \theta^{-2-\eta} \sum_i \text{Inf}_i(f)^{\eta/2} \mathbf{E}[\partial_i f(Z(T))^2] \end{aligned}$$

Partial derivatives under uniform process

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Proposition. $\mathbf{E}[\partial_i f(Z(t))^2] \leq \text{Inf}_i(f)$

Partial derivatives under uniform process

$$\tau_3 = \min_t \{ \max_i |\partial_i f(Z(t))| > \epsilon \delta \}$$

Lemma 3. w.h.p. $\tau_3 > (1 - \epsilon)$

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Partial derivatives under uniform process

$$\tau_3 = \min_t \{ \max_i |\partial_i f(Z(t))| > \epsilon \delta \}$$

Lemma 3. w.h.p. $\tau_3 > (1 - \epsilon)$

Proof.

$$\Pr \left[\sup_{0 \leq s \leq t} \beta(s) \geq \theta \right] \leq \Pr \left[\sup_{0 \leq s \leq t} \sum_{i=1}^n |\partial_i f(Z(s))|^{2+\epsilon} \geq \theta^{2+\eta} \right]$$

$$\leq \theta^{-2-\eta} \sum_i \mathbf{E}[|\partial_i f(Z(t))|^{2+\eta}]$$

$$\leq \theta^{-2-\eta} \sum_i (\mathbf{E}[\partial_i f(Z(T))^2])^{1+\eta/2}$$

$$\leq \theta^{-2-\eta} \sum_i \text{Inf}_i(f)^{\eta/2} \mathbf{E}[\partial_i f(Z(T))^2]$$

$$\leq \theta^{-2-\eta} \frac{\text{MaxInf}(f)^{\eta/2}}{1 - T}$$

Proposition. $\sum_{i=1}^n \mathbf{E}[\partial_i f(Z(T))^2] \leq \frac{1}{1 - T}$

Partial derivatives under uniform process

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$\beta(t)$

Lemma 3. w.h.p. $\tau_3 > (1 - \epsilon)$

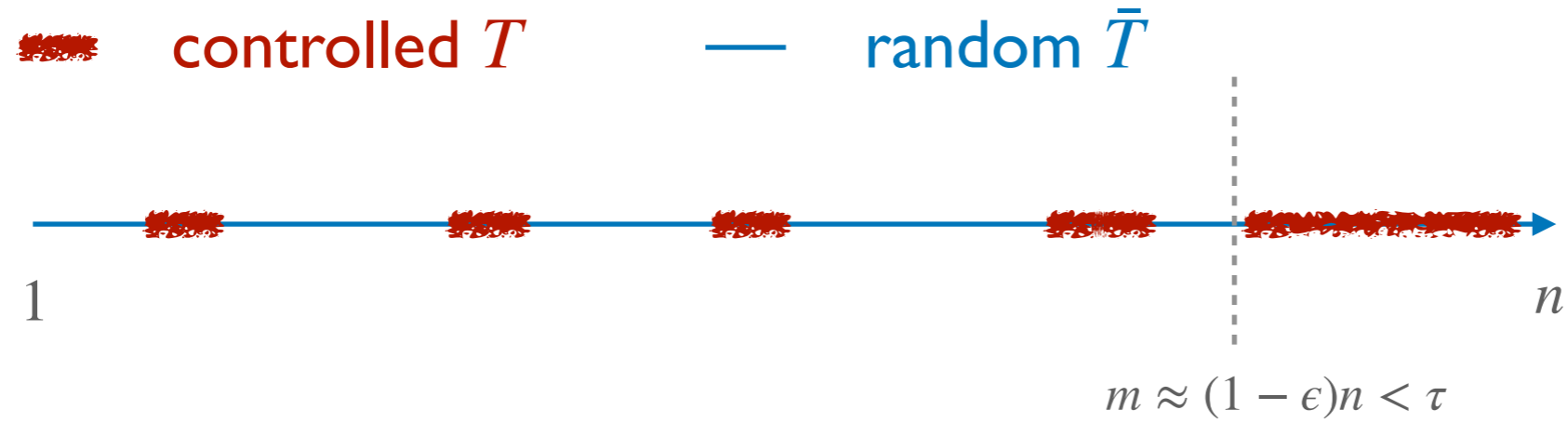
Proof.

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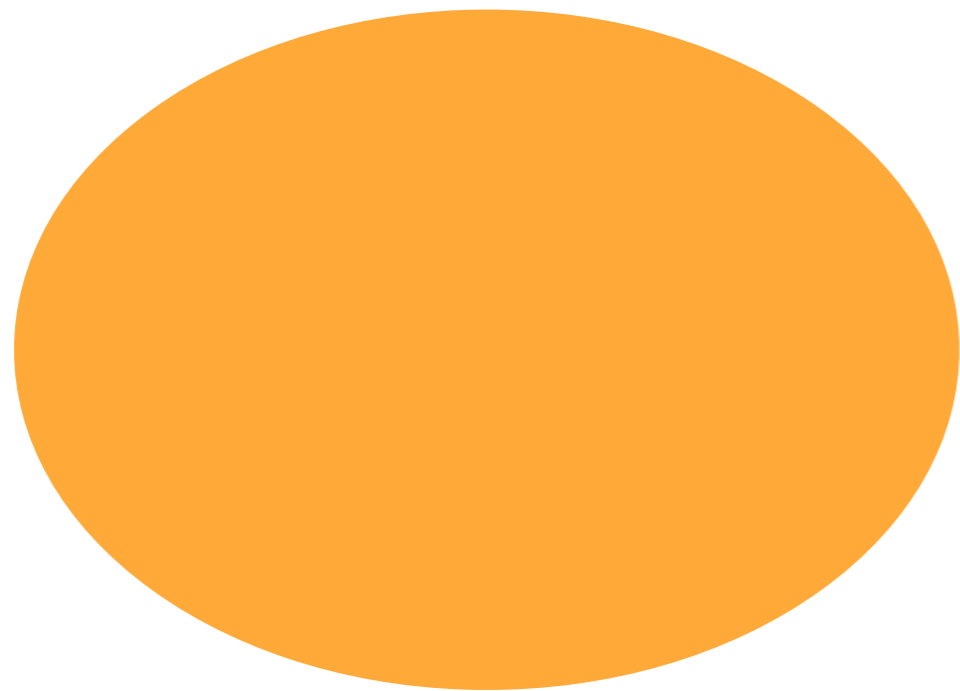
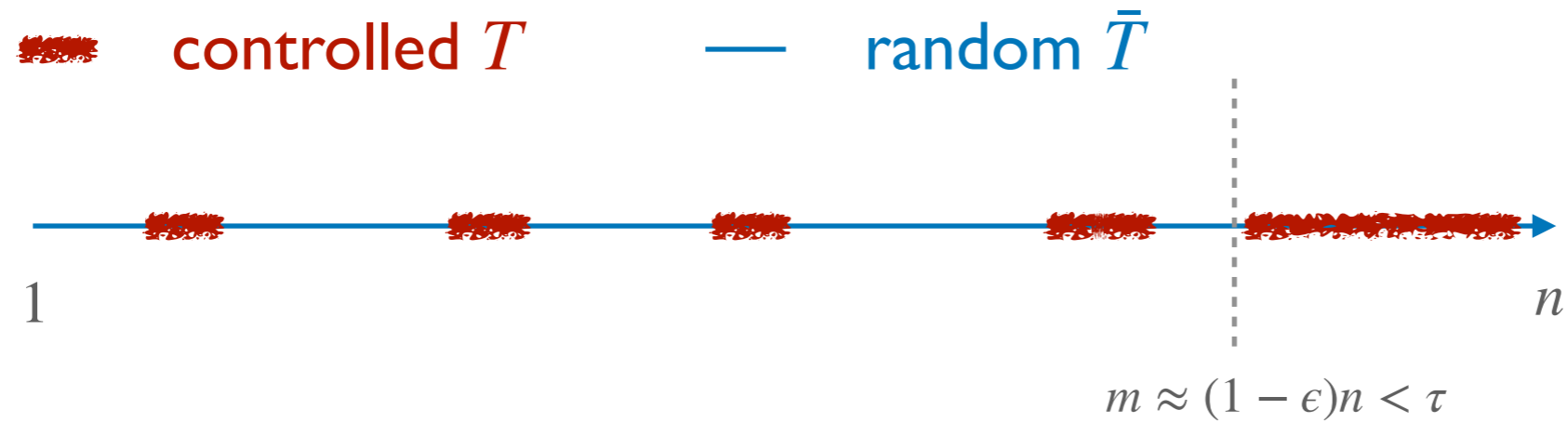
set $T = (1 + t)/2, \eta = T - t$

Bound the variance

Entropy comparison

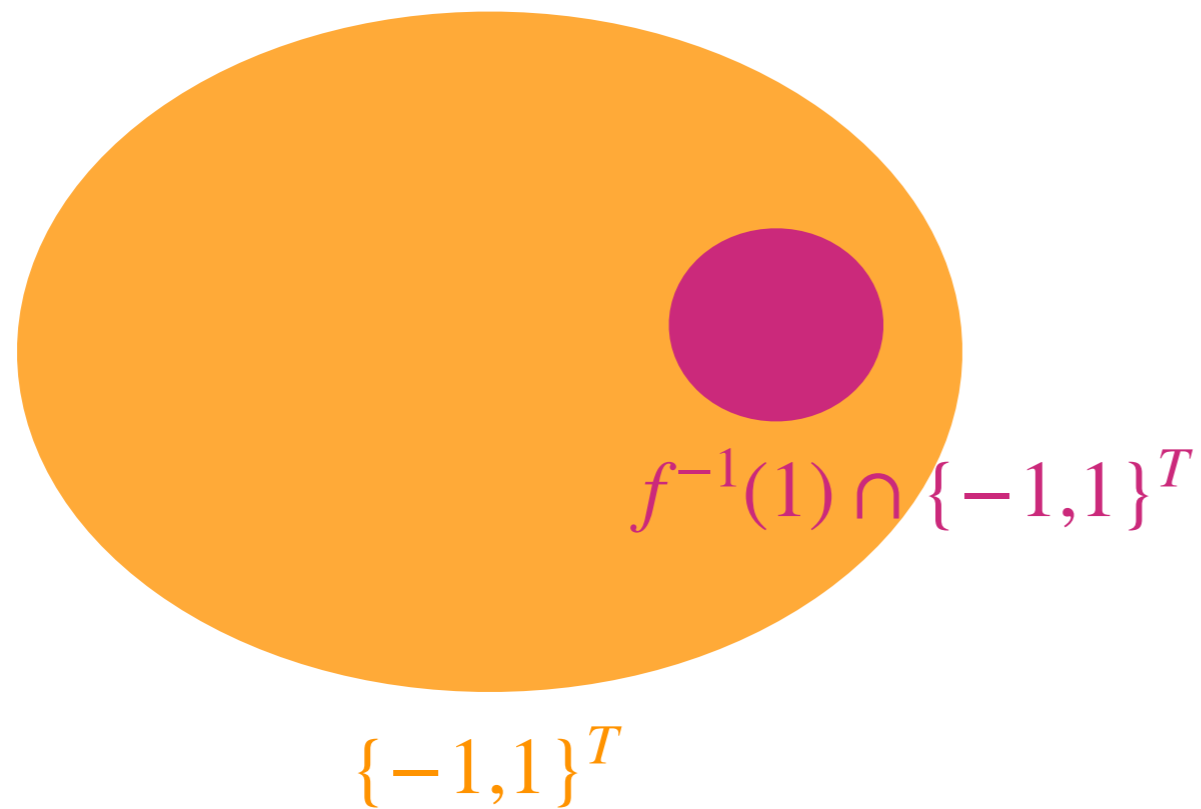
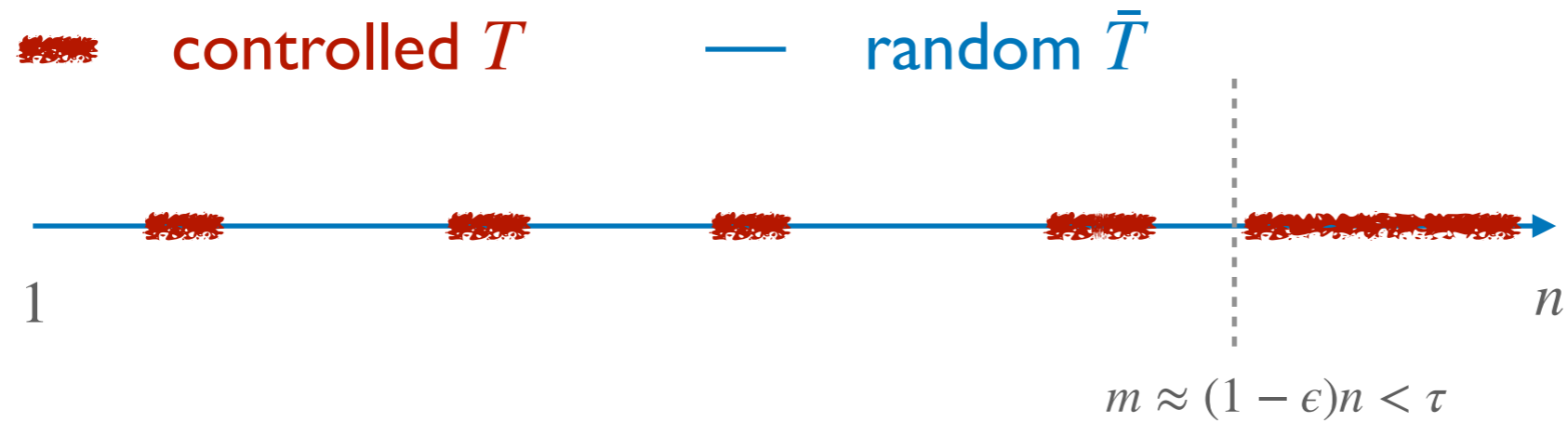


Entropy comparison

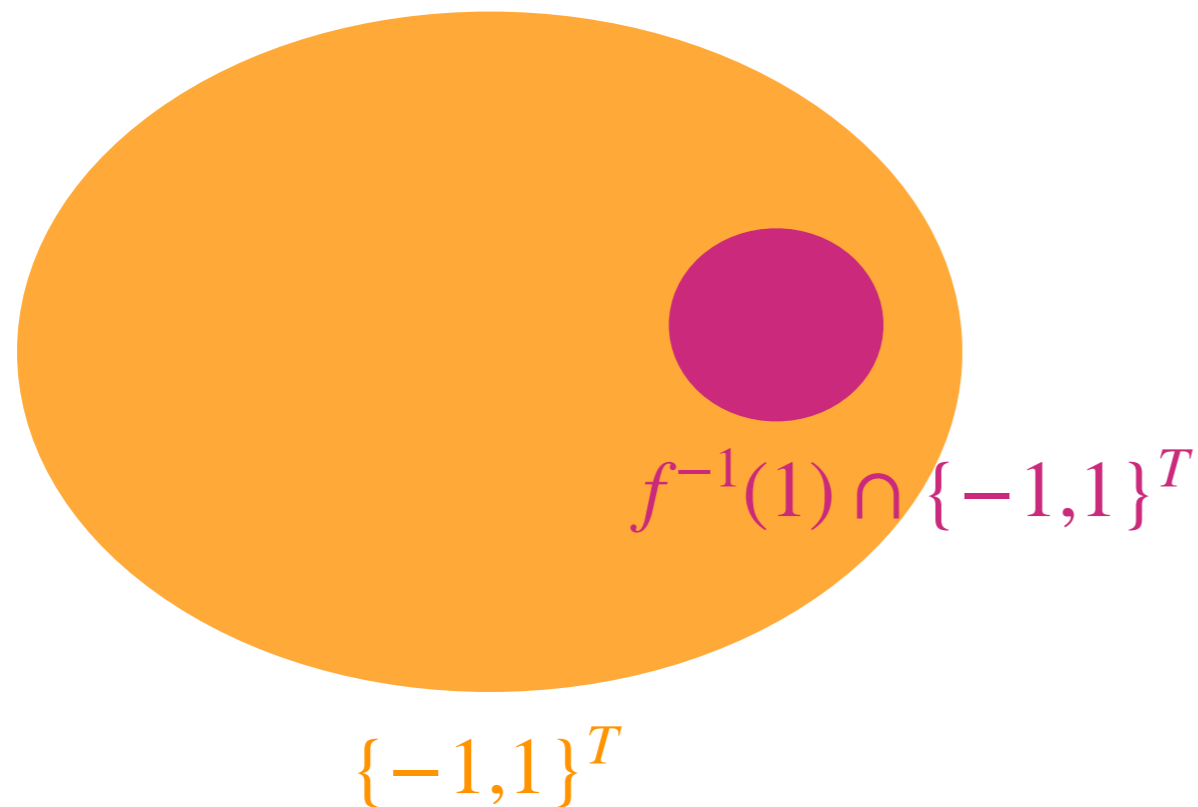
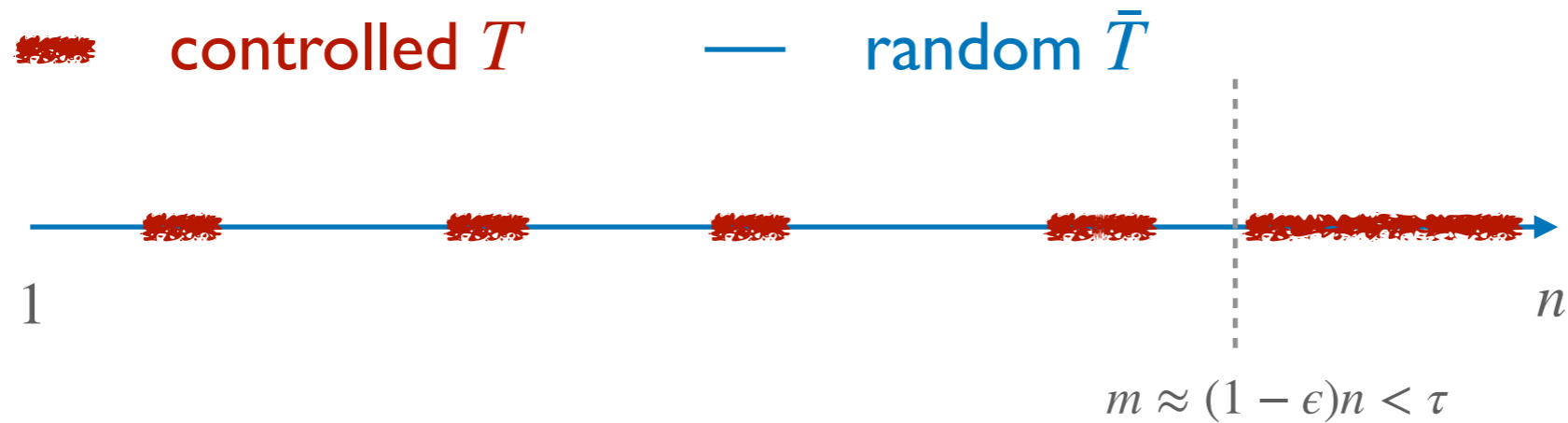


$$\{-1, 1\}^T$$

Entropy comparison

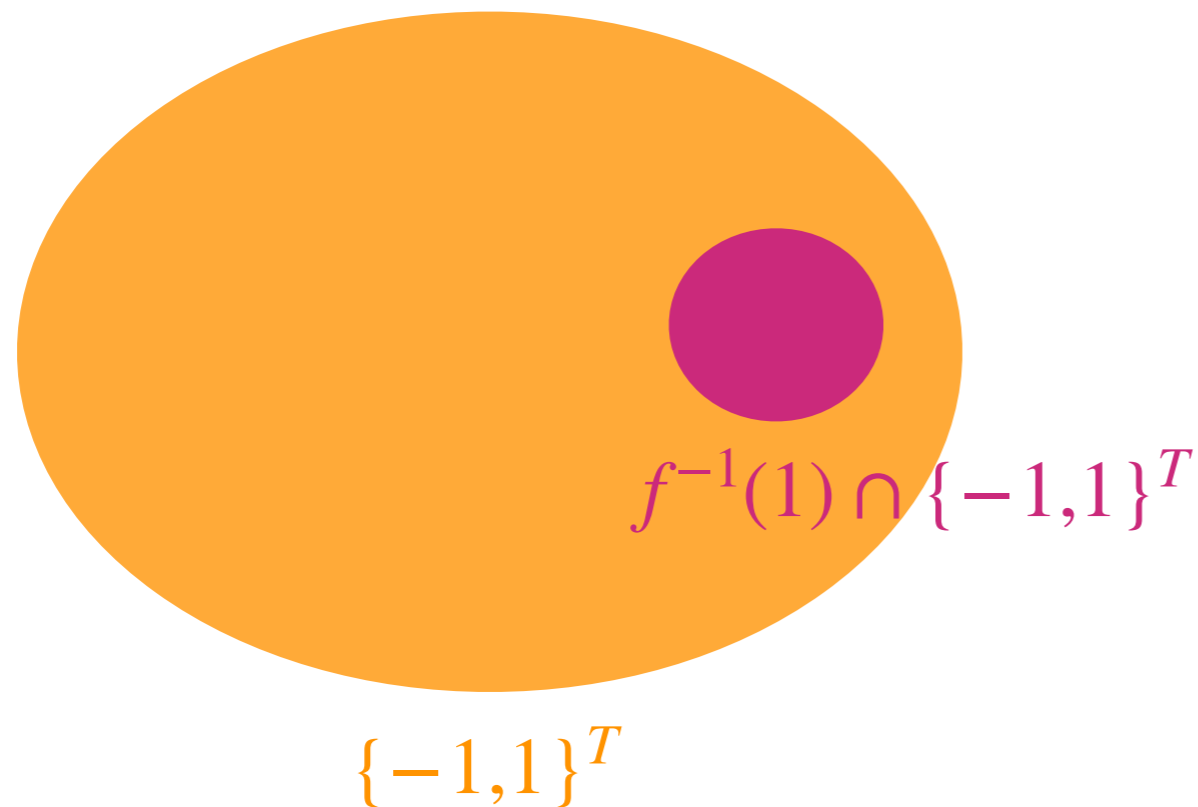
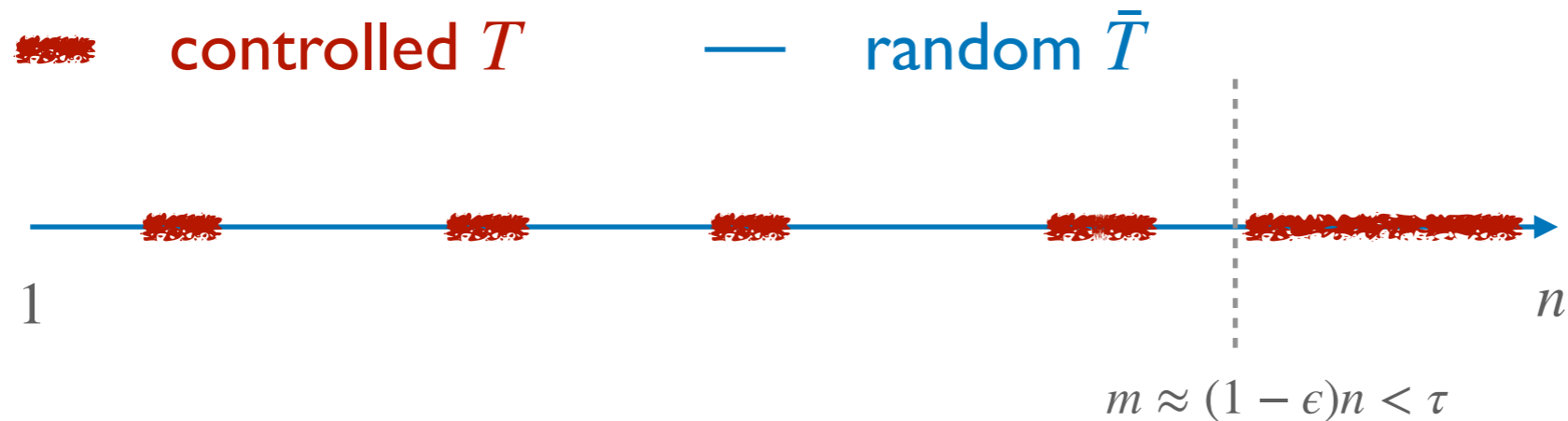


Entropy comparison



Strategy: to bound the KL-divergence between $Y(n)$ and $X(n)$ given π , T , and the restriction.

Entropy comparison



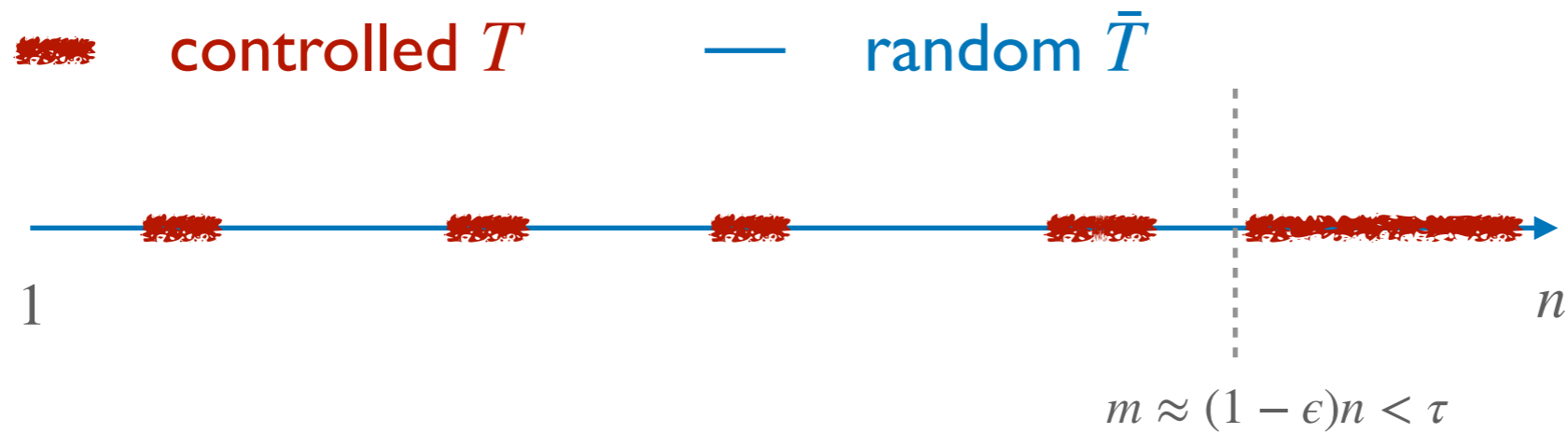
Strategy: to bound the KL-divergence between $Y(n)$ and $X(n)$ given π , T , and the restriction.

$$|T| - \log |f^{-1}(1) \cap \{-1, 1\}^T|$$

KL-divergence

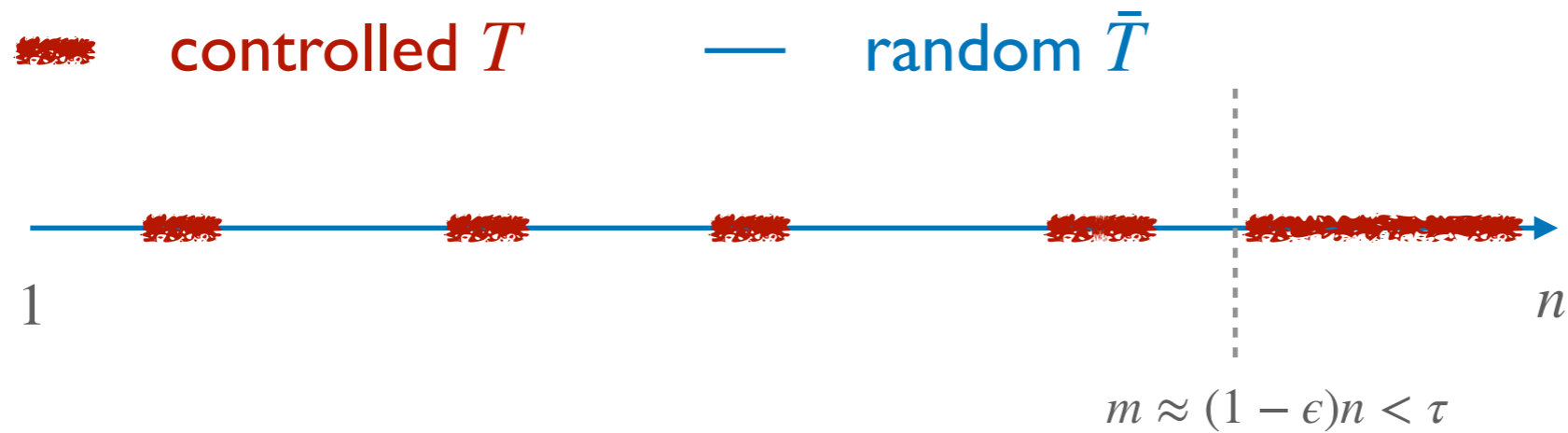


KL-divergence



$\mathcal{G}_m = (\pi, T, x(m) |_{\bar{T}})$: all the information we know about coordinates come before time m on \bar{T} .

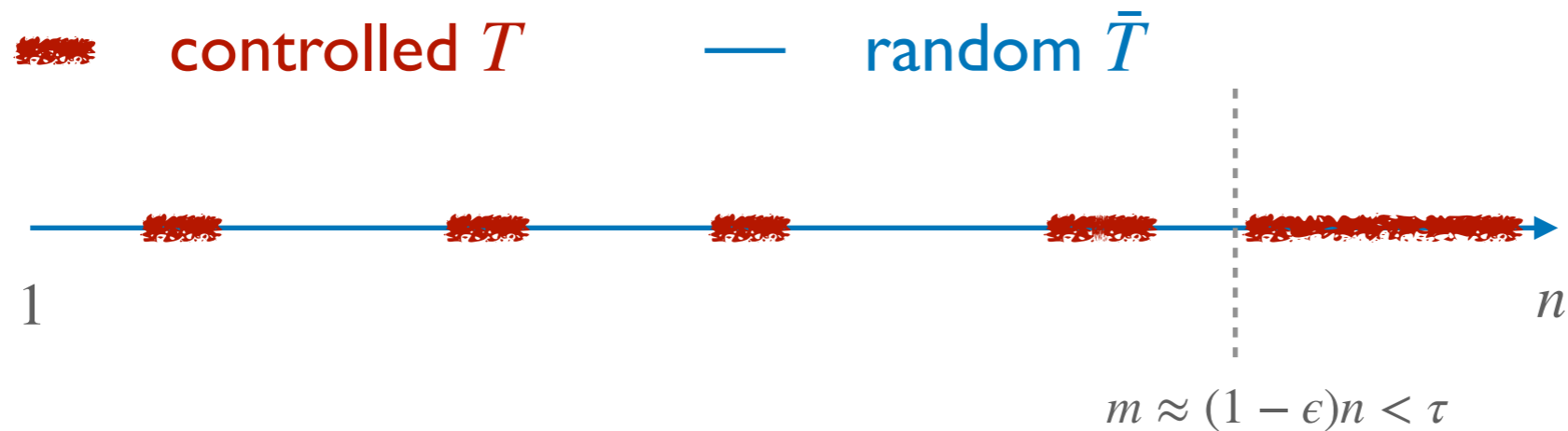
KL-divergence



$\mathcal{G}_m = (\pi, T, x(m) |_{\bar{T}})$: all the information we know about coordinates come before time m on \bar{T} .

$$\mathbf{E}_{\mathcal{G}_m}[\text{KL}(Y(n) | \mathcal{G}_m \| X(n) | \mathcal{G}_m)]$$

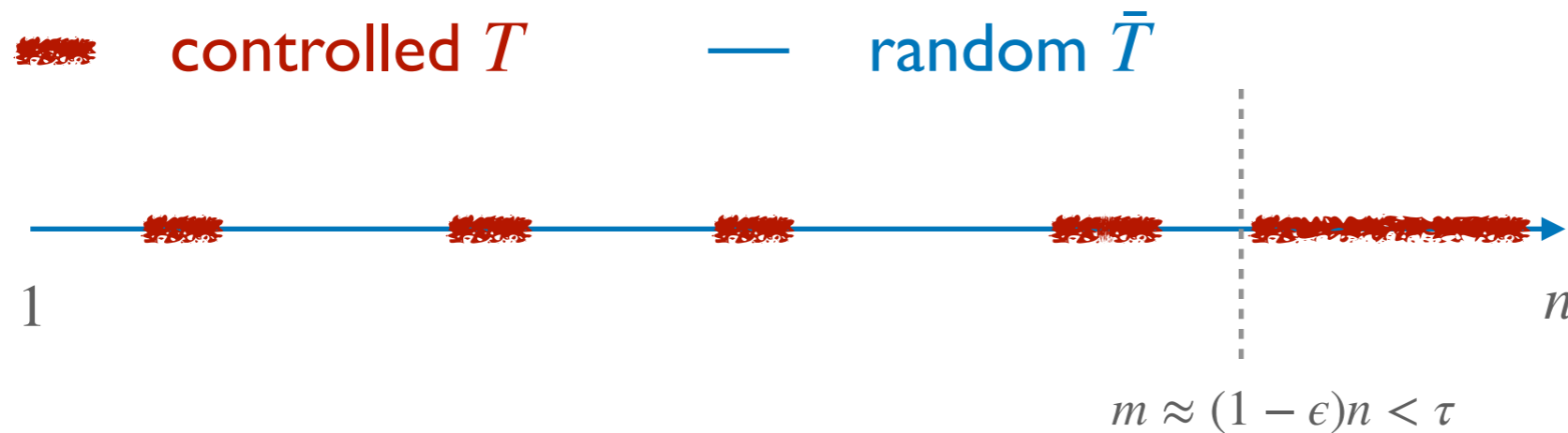
KL-divergence



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$$\begin{aligned}
 & \mathbf{E}_{\mathcal{G}_m} [\text{KL}(Y(n) | \mathcal{G}_m \| X(n) | \mathcal{G}_m)] \\
 & \leq \sum_{t=1}^m \mathbf{E}[\mathbb{1}_{\{t \in T\}} \text{KL}((Y_{\pi(t)}(t) | \mathcal{G}_m, Y(t-1)) \| (X_{\pi(t)}(t) | \mathcal{G}_m, Y(t-1)))] \\
 & \quad + \mathbf{E}[\text{KL}((Y(n) | \mathcal{G}_m, Y(m)) \| (X(n) | \mathcal{G}_m, Y(m)))]
 \end{aligned}$$

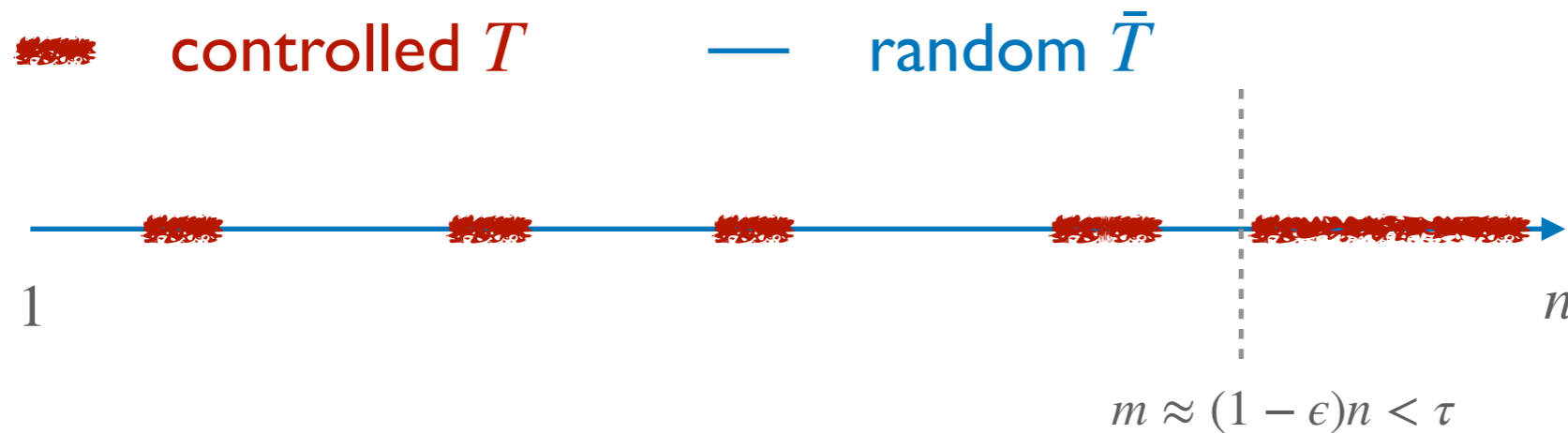
KL-divergence



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 & \quad + \mathbf{E} [\text{KL}((Y(n) | \mathcal{G}_m, Y(m)) \| (X(n) | \mathcal{G}_m, Y(m)))] \leq \log \frac{1}{\delta}
 \end{aligned}$$

KL-divergence

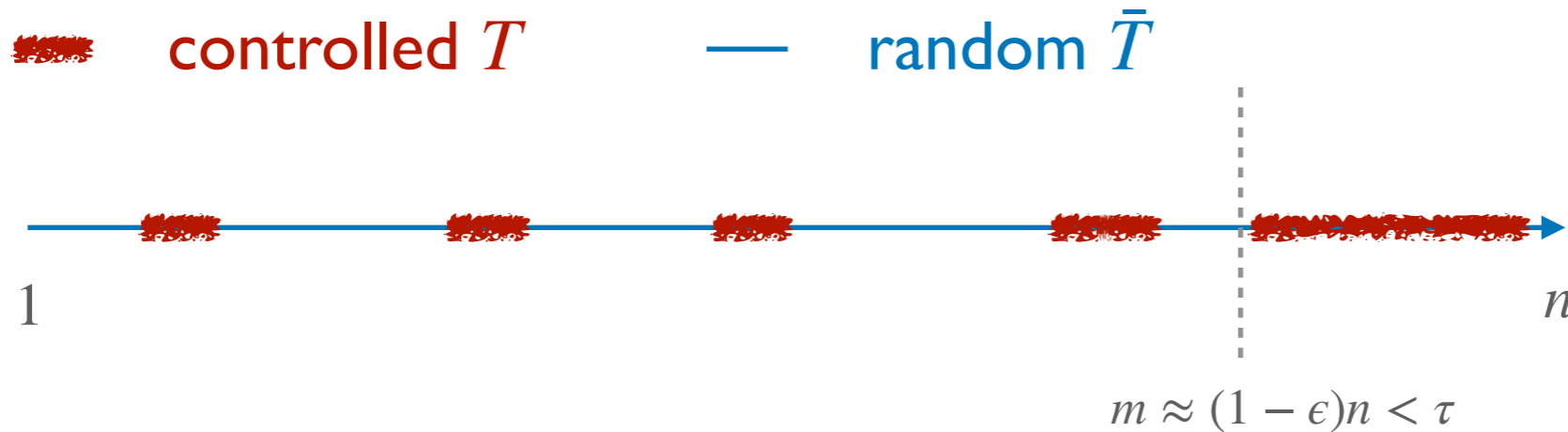


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KL-divergence



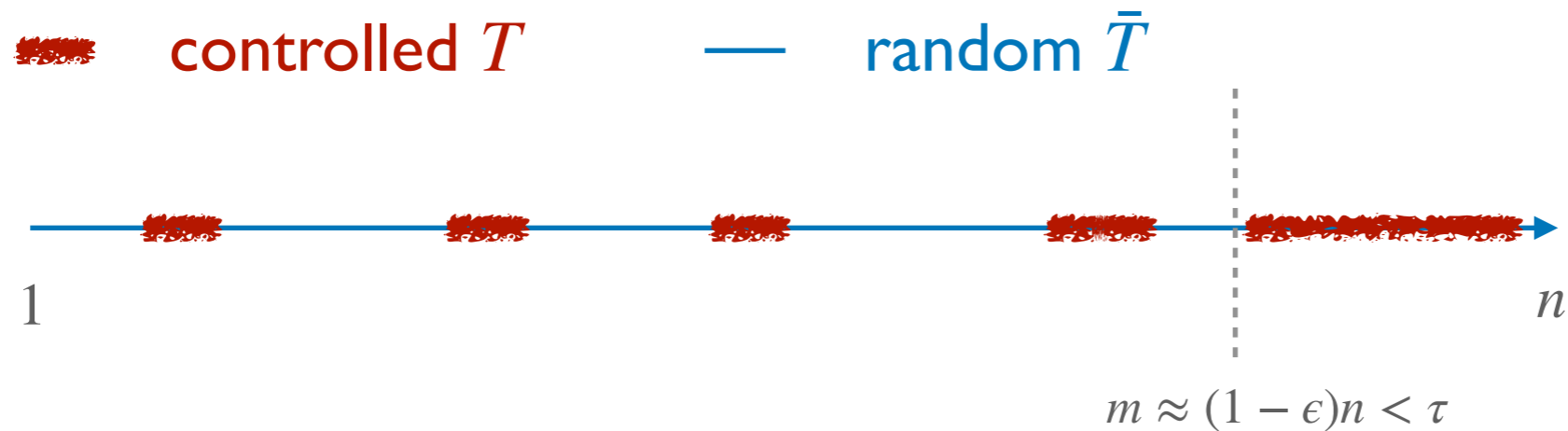
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$$\leq \sum_{t=1}^m \frac{\epsilon}{n-t+1} \sum_{i: Y_i(t-1)=0} \left(\frac{\partial_i f(Y(t-1))}{2\epsilon f(Y(t-1))} \right)^2 + \log \frac{1}{\delta}$$

KL-divergence

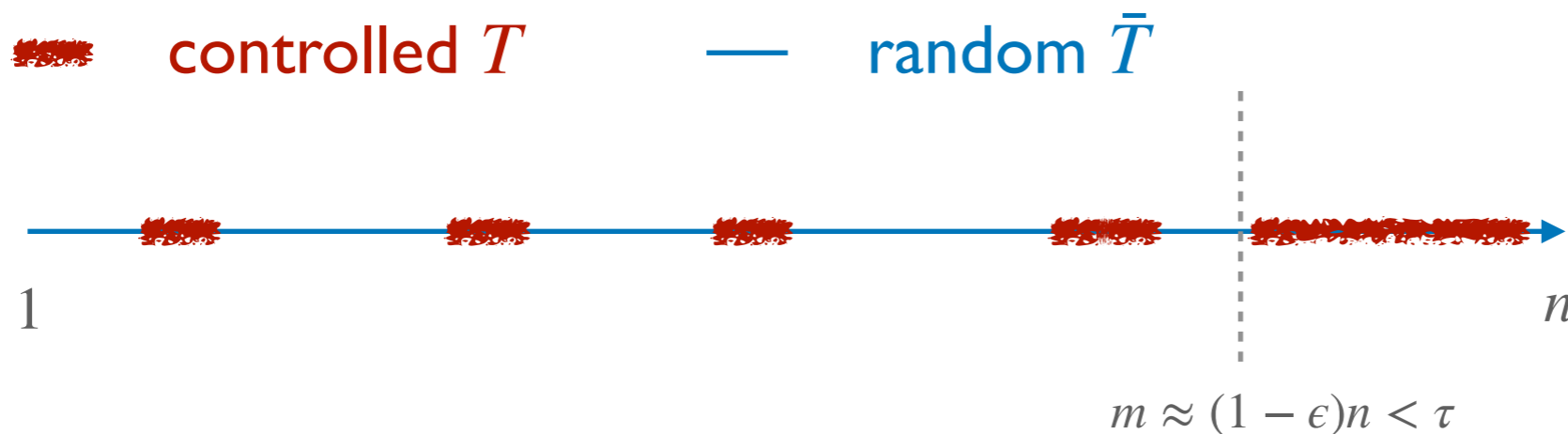


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KL-divergence



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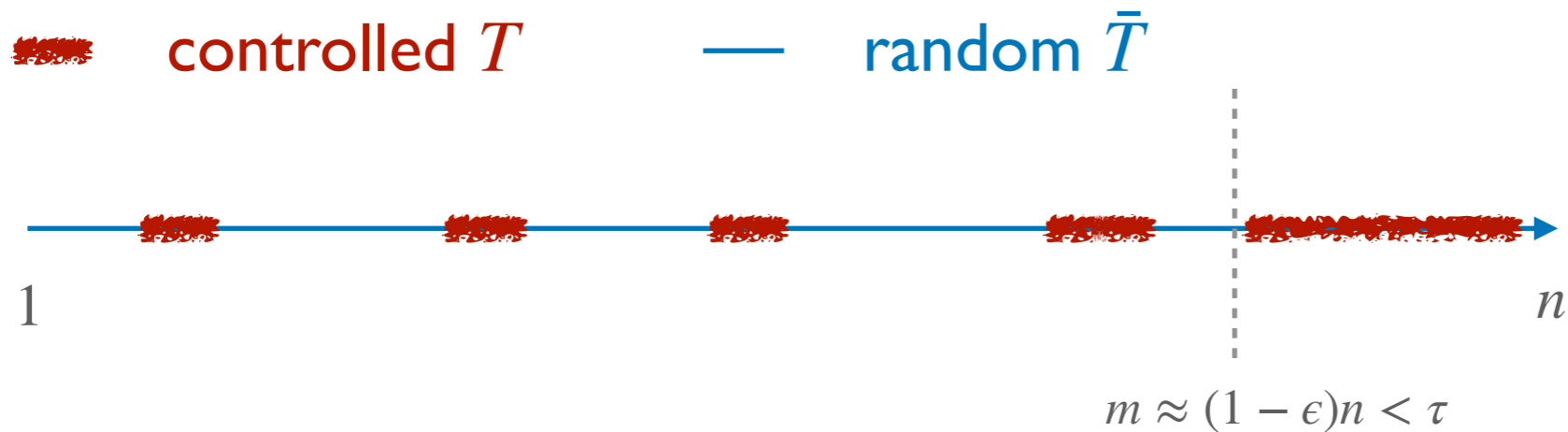
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Theorem ([Talagrand 96])

For any $g : \{-1, 1\}^n \rightarrow [0, 1]$, we have

$$\sum \partial_i g(0)^2 \leq C g(0)^2 \log \frac{e}{g(0)}.$$

KL-divergence



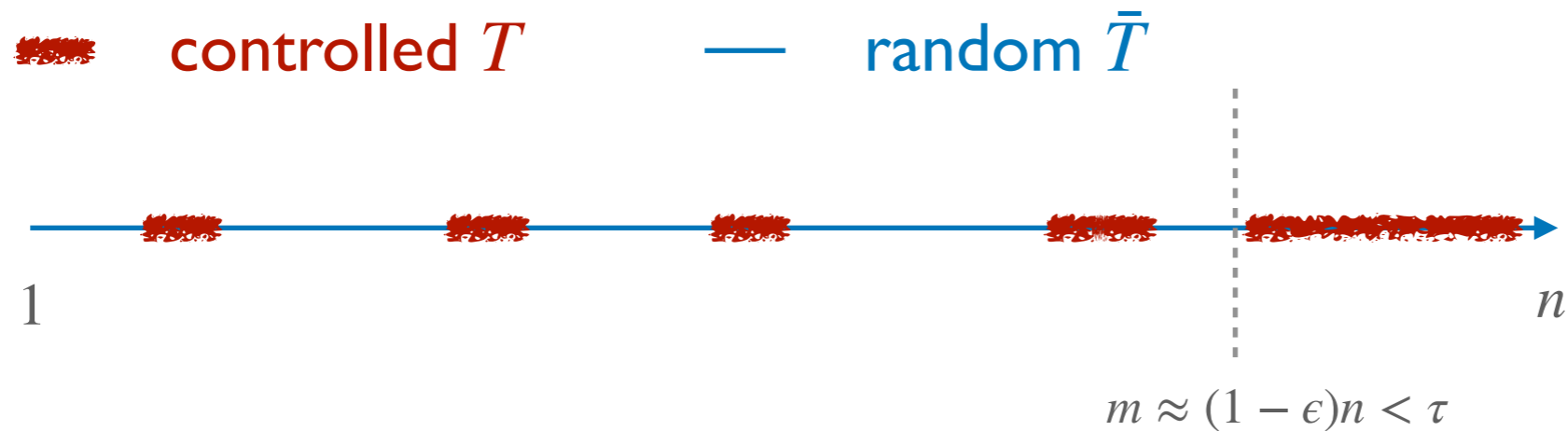
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$$\mathbf{E}_{\mathcal{G}_m} [\text{KL}(Y(n) | \mathcal{G}_m \| X(n) | \mathcal{G}_m)]$$

$$\leq \sum_{t=1}^m \frac{\epsilon}{n-t+1} \sum_{i: Y_i(t-1)=0} \left(\frac{\partial_i f(Y(t-1))}{2\epsilon f(Y(t-1))} \right)^2 + \log \frac{1}{\delta}$$

$$\leq \sum_{t=1}^m \frac{C}{4\epsilon(n-t+1)} \log \frac{e}{f(Y(t-1))} + \log \frac{1}{\delta}$$

KL-divergence

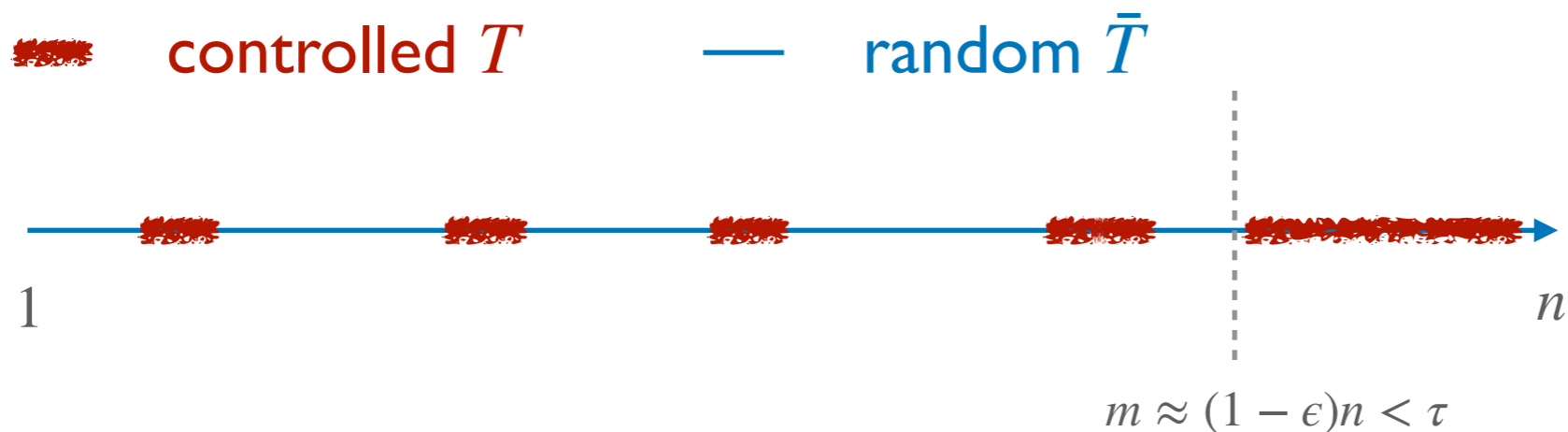


$\mathcal{G}_m = (\pi, T, x(m) |_{\bar{T}})$: all the information we know about coordinates come before time m on \bar{T} .

$$\mathbf{E}_{\mathcal{G}_m} [\text{KL}(Y(n) | \mathcal{G}_m \| X(n) | \mathcal{G}_m)]$$

$$\leq \sum_{t=1}^m \frac{C}{4\epsilon(n-t+1)} \log \frac{e}{f(Y(t-1))} + \log \frac{1}{\delta}$$

KL-divergence



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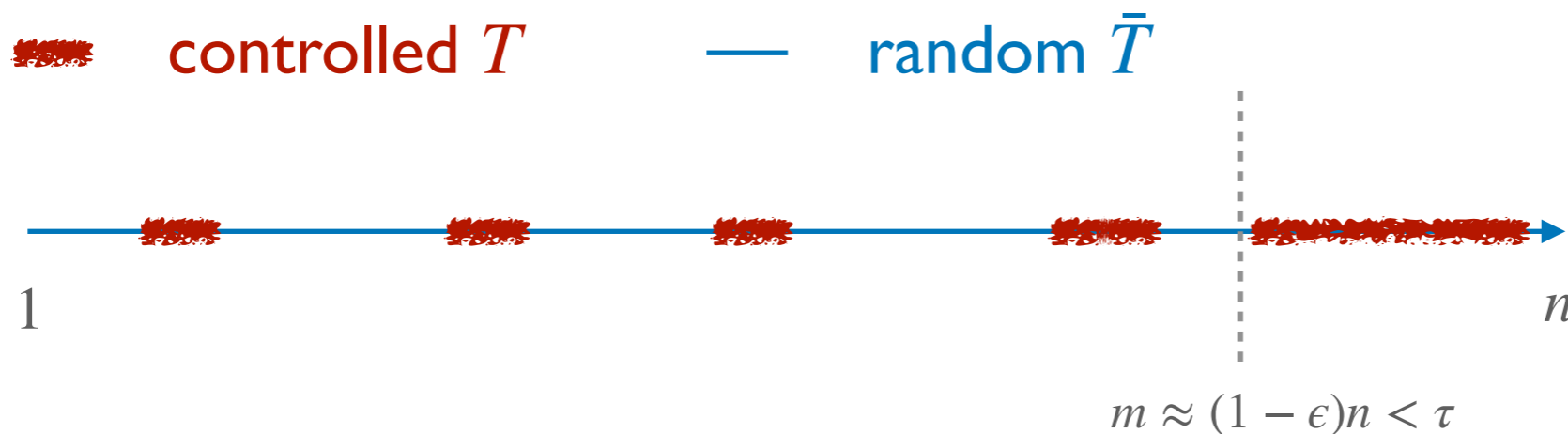
$$\mathbf{E}_{\mathcal{G}_m}[\text{KL}(Y(n) | \mathcal{G}_m \| X(n) | \mathcal{G}_m)]$$

$$\leq \sum_{t=1}^m \frac{C}{4\epsilon(n-t+1)} \log \frac{e}{f(Y(t-1))} + \log \frac{1}{\delta}$$

$$\leq O\left(\frac{1}{\epsilon} \log \frac{1}{\delta}\right) \cdot \sum_{t=1}^m \frac{1}{n-t+1}$$

$$= O\left(\frac{1}{\epsilon} \log \frac{1}{\delta} \log \frac{n-m}{n}\right).$$

KL-divergence



$\mathcal{G}_m = (\pi, T, x(m) |_{\bar{T}})$: all the information we know about coordinates come before time m on \bar{T} .

$$\mathbf{E}_{\mathcal{G}_m} [\text{KL}(Y(n) | \mathcal{G}_m \| X(n) | \mathcal{G}_m)]$$

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$$\leq O\left(\frac{1}{\epsilon} \log \frac{1}{\delta}\right) \cdot \sum_{t=1}^m \frac{1}{n-t+1}$$

$$= O\left(\frac{1}{\epsilon} \log \frac{1}{\delta} \log \frac{n-m}{n}\right).$$

Thank you!